



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kenten et al.  
Application No.: 10/681,389  
Filed: October 7, 2003  
Examiner: Not yet assigned  
Art Unit: Not yet assigned  
Title: UBIQUITIN FUSION-BASED VACCINE SYSTEM

**CERTIFICATE OF MAILING**

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

(Filed within three months of filing national application; within three months of date of entry of national stage of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either:

a Certification under 37 CFR 1.97(e), or

a \$180.00 fee set forth in 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final action or notice of allowance)

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

- under 37 CFR 1.97(d) together with:
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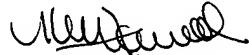
- Copies of the cited references are enclosed.
- Copies of cited references are enclosed except those of record in prior applications, U.S. Serial Nos. 09/964,201 and 09/026,276, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
  - the explanation provided on the attached sheet.
  - the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

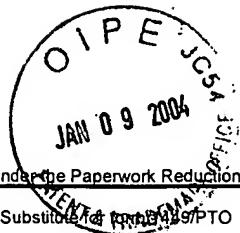


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Dated: 11/6/04

P0045620.DOC



PTO/SB/08A (08-03)

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Substitute for Form 489 PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

<i><b>Complete if Known</b></i>	
Application Number	10/681,389
Filing Date	October 7, 2003
First Named Inventor	John Kenten
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	IGN-2005US02

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

of 2

Application Number	10/681,389
Filing Date	October 7, 2003
First Named Inventor	John Kenten
Art Unit	Not yet assigned
Examiner Name	Not yet assigned

Attorney Docket Number IGN-2005US02

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AR	Ecker et al., J. of Biol. Chem. 264 No. 13: 7715 (1989).	
	AS	Monia et al., J. of Biol. Chem. 264 No. 7: 4093 (1989).	
	AT	Vannier et al., Biochemistry 35: 1358 (1996).	
	AU	Dalum et al., The American Association of Immunologists (1996).	
	AV	Barry et al., Nature 377: 632 (1995).	
	AW	Loosfelt et al., Proc. Natl. Acad. Sci. USA 89: 3765 (1992).	
	AX	Pilon et al., Biotechnol. Prog. 13: 374-379 (1997).	
	AY	Dalum et al., Molecular Immun. 34: 1113-1120 (1997).	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
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